

FORM PTO-1449(Modified) ATTY, DOCKET NO. C0441/7046 SERIAL NO. 08/412,955 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT Arrowsmith et al. APPLICANT'S INFORMATION DISCLOSURE FILING DATE March 29, 1995 **GROUP 2608 STATEMENT U.S. PATENT DOCUMENTS** Exam Ref Sub FILING DATE Init Des Document No. Date Name Class Class If Appropriate 5.261.044 11/9/93 Dev et al. 395 159 11/7/91 **FOREIGN PATENT DOCUMENTS** Pub. Country & Sub Translation Doc. No. (11) Date (43) Class Class Yes No **OTHER ART** (Including Author, Title, Date, Pertinent Pages, Publication, Etc.) Terplan, Communications Networks Management, "Fault Management," (Chapter 8, pp. 263-366), Second edition, Prentice Hall, Englewood Cliffs, New Jersey 07632 (1992) Sloman, Network and Distributed Systems Management, Addison-Wesley Publishing Company, Wokingham, England (1994) "Domains: A Framework For Structuring Management Policy" (Chapter 16, pp. 433-453) Moffett, "Specification of Management Policies and Discretionary Access Control," (Chapter 17, pp. 455-480) Ericson et al., Expert Systems Applications In Integrated Network Management, "Fault Management Applications," (Chapter 5, pp. 235-273), Artech House, 685 Canton Street, Norwood, MA 02062 (1989) Weis, Journal of Network and Systems Management, Plenum Publishing Corp., "Policies In Network And Systems Management - Formal Definition And Architecture," Plenum Publishing Corp., (1994) Roos et al., Integrated Network Management III, "Modelling Management Policy Using Enriched Managed Objects," Elsevier Science Publishers, North holland, pp. 207-215 (1993) * a copy of this reference is not provided as it was previously cited by or submitted to the office in a prior application, Serial No. , and relied upon for an earlier filing date under 35 U.S.C. 120 (continuation, continuation-in-part, and divisional applications) EXAMINER DATE CONSIDERED EXAMINER: Initial if/reference considered, whether or not citation is in conformance with MPEP

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